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LIQUID CAPITAL STATEMENT AS ON 30-06-2024

AGING CALCULATION BASED ON CLIENT ACCOUNT AGING CALCULATION BASED ON SETTLEMENT DATE BASIS

. A.	SSETS			
.1	Property & Equipment	18,662,560	18,662,560	
	100% of Net Value			
	Net Adjusted Value: Nil			
.2	Intangible Assets	2,500,000	2,500,000	
	100% of Net Value			
_	Net Adjusted Value: Nil			
.3	Investment in Government Securities	0	0	
	Diferenct between book value and sale value on the date on the basis of PKRV			
	published bt NIFT			
	Net Adjusted Value: Sale value on the date on the basis of PKRV published by NIFT			
4	Investment in Debt Securities			
	If listed then:			
	i) 5% of the balance sheet value in the case of tenure upto 1 year.	0	0	
	Net Adjusted Value: Net amount after deducting provisions and haircuts		0	
	ii) 7.5% of the balance sheet value, in the case of tenure from 1-3 years.	0	0	
	Net Adjusted Value: Net amount after deducting provisions and haircuts		0	
	iii) 10% of the balance sheet value, in the case of tenure of more than 3 years.	0	0	
	Net Adjusted Value: Net amount after deducting provisions and haircuts			
	If unlisted then:	0	0	
	i) 10% of the balance sheet value in the case of tenure upto 1 year	0	0	
	Net Adjusted Value: Net amount after deducting provisions and haircuts	0	0	
	ii 12.5% of the balance sheet value, in the case of tenure from 1-3 years Net Adjusted Value: Net amount after deducting provisions and haircuts	U	U	
	iii) 15% of the balance sheet value, in the case of tenure of more than 3 years	0	0	
	Net Adjusted Value: Net amount after deducting provisions and haircuts	0	0	
5	Investment in Equity Securities			
)	i) If listed then 15% or VAR of each security on the cutoff date as computed by the	10 169 654	1 000 001	0.000 (
		10,168,654	1,962,351	8,206,3
	NCCPL for respective security whichever is higher. (Provided that if any of these			
	securities are pledged with the securities exchange for maintaining base minimum			
	capital requirement, 100% haircut on the value of eligible securities to the extent of			
	minimum required value of Base minimum capital			
	Net Adjusted Value: Net amount after deducting provisions and haircuts			
	ii) If unlisted then 100% of carrying value	7,000,000	7,000,000	
	Net Adjusted Value: Net amount after deducting provisions and haircuts	-	- 1	
6	Investment in Subsidiaries	0	0	
	100% of net value			
	Net Adjusted Value: Nil			
7	Investment in Associated Companies / Undertaking			
	(i) If listed then 20% or VAR of each securities as computed by the Securities	0	0	
	Exchange for respective securities whichever is higher.			
	Net Adjusted Value: Net amount after deducting provisions and haircuts			
	(ii) If unlisted then 100% of net value.	0	0	
	Net Adjusted Value: Net amount after deducting provisions and haircuts			
	Statutory or Regulatory Deposits / Basic Deposits with the Exchanges, Clearing	1,700,000	1,700,000	
	House or Central Depository Company or any other entity			
	100% of net value, however any excess amount of cash deposited with securities			
	exchange to comply with requirements of base minimum capital may be taken in the			
	calculation of Liquid Capital			
	Net Adjusted Value: Nil [or any excess cash amount]			
)	Margin Deposits with Exchange and Clearing House	108,621,273	0	108,621,2
	Net Adjusted Value: Balance Sheet Value			
0	Deposit with authorized intermediary against borrowed securities under SLB	0	0	
	Net Adjusted Value: Balance Sheet Value			
1	Other Deposits and Prepayments	915,603	915,603	
	100% of carrying value	, ,		
	Net Adjusted Value: Nil			
2	Accrued Interest / Profit / Mark-up			
	Net Adjusted Value: Balance Sheet Value			
	No Haircut may bel applied on amounts placed with Financial Institutions or Debt	0	0	
	Securities etc.			
	Net Adjusted Value: Balance Sheet Value			
	100% in respect of markup accrued on loans to directors, subsidiaries and other	0	0	
	related parties		- 1	
	Net Adjusted Value: Nil			
3	Dividends Receivables	0	0	
5	Net Adjusted Value: Balance Sheet Value	U	U U	
Δ	Amounts receivable against Repo financing	0	0	
+	Amount paid as purchaser under the REPO agreement. Securities purchased under	0	U	
	repo arrangement shall not be included in the investments			
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	Net Adjusted Value: Balance Sheet Value			

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LIQUID CAPITAL STATEMENT AS ON 30-06-2024

AGING CALCULATION BASED ON CLIENT ACCOUNT AGING CALCULATION BASED ON SETTLEMENT DATE BASIS

Advances and Receivables other than trade Receivables			
i) No haircut may be applied on the short term loan to employees provided these loans	2,733,091	2,733,091	
are secured and due for repayments within 12 months			
Net Adjusted Value: Adjusted Value	40.040.00-1	40.040.00-	
ii) No haircut may be applied to the advance tax to the extent it is netted with provision	18,310,697	18,310,697	
of taxation			
Net Adjusted Value: Adjusted Value iii) In all other cases 100% of net value	48,127,094	48,127,094	
Net Adjusted Value: Adjusted Value	40,127,094	40,127,094	
Receivables from clearing house or securities exchange(s)	2,395,789	0	2,395,7
100% value of claims other than those on account of entitlements against trading of securities in all markets including MTM gains	2,000,100		2,000,1
Net Adjusted Value: Lower of net balance sheet value or value determined through			
adjustments			
Receivables from Customers			
i) In case receivables are against Margin Financing (MF), the aggregate of (a) value of	0	0	
securities held in the blocked account after applying VAR based Haircut, (b) cash deposited as collateral by the financee (c) market value of any securities deposited as			
collateral after applying VAR based haircut			
Net Adjusted Value: Lower of net balance sheet value or value determined through			
adjustments	~ 1	~ 1	
ii) In case receivables are against Margin Trading (MTS), 5% of the net balance sheet	0	0	
value Net Adjusted Value: Net amount after deducting haircut			
iii) In case receivables are against Securities borrowings under SLB, the amount paid	0	0	
to NCCPL as collateral upon entering into contract Net Adjusted Value: Net amount after deducting haircut		V	
iv) In case of other trade receivables not more than 5 days overdue, 0% of the net	14,108,697	0	14,108,6
balance sheet value	, ,	-	,,
Net Adjusted Value: Balance sheet value			
v) Incase of other trade receivables are overdue, or 5 days or more, the aggregate of	41,641,833	4,050,914	4,050,9
a) the market value of securities purchased for customers and held in sub-accounts			
after applying VAR based haircuts			
b) cash deposited as collateral by the respective customer			
c) the market value of securities held as collateral after applying VAR based haircuts			
Net Adjusted Value: Lower of net balance sheet value or value determined through			
adjustments	3 545 603	2 0/7 521	20175
vi) In the case of amount of receivables from Related Parties, values determined after applying applicable haircuts on underlying securities readily available in respective CDS	3,545,683	3,047,531	3,047,5
account of the related party in the following manner:			
a) Up to 30 days, values determined after applying VAR based haircuts			
b) Above 30 days but upto 90 days, values determined after applying 50% or VAR			
based haircuts whichever is higher			
c) above 90 days 100% haircut shall be applicable			
Net Adjusted Value: Lower of net balance sheet value or value determined through			
adjustments			
Cash and Bank balances			
Net Adjusted Value: Balance Sheet Value		· · · ·	
i) Bank Balance - Proprietary Accounts	973,075	0	973,0
ii) Bank Balance - Customer / Client Accounts	235,000,540	0	235,000,5
iii) Cash in Hand	71,702	0	71,7
Subscription money against investment in IPO/ offer for sale (asset)		0	
i) No haircut may be applied in respect of amount paid as subscription money provided that shares have not been allotted or are not included in the investments of securities broker	0	U	
Net Adjusted Value: Balance sheet value or Net value after deducting haircuts			
ii) In case of Investment in IPO where shares have been allotted but not yet credited in	0	0	
CDS Account, 25% haircuts will be applicable on the value of such securities	~	~~	
Net Adjusted Value: Balance sheet value or Net value after deducting haircuts			
iii) In case of subscription in right shares where the shares have not yet been credited	0	0	
in CDS account, 15% or VAR based haircut whichever is higher, will be applied on			
Right Shares			
Net Adjusted Value: Balance sheet value or Net value after deducting haircuts	E 4 E 5 C 5 C 1	400.000.000	ABC
TOTAL ASSETS	516,476,291	109,009,841	376,475,8
ABLITIES			
Trade Payables			
Net Adjusted Value: Balance Sheet value	<u>.</u>		
(i) Payable to exchanges and clearing house	0	0	
	0	0	
(ii) Payable against leveraged market products (iii) Payable to customers	219,348,652	0	219,348,6

LIQUID CAPITAL STATEMENT AS ON 30-06-2024

AGING CALCULATION BASED ON CLIENT ACCOUNT AGING CALCULATION BASED ON SETTLEMENT DATE BASIS

3. RANKING LIABLITIES 0 3.1 Concentration in Margin Financing The amount calculated on client-to-client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total finances. (Provided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin financing does not exceed Rs 5 million) Note: Only amount exceeding by 10% of each financee from aggregate amount shall be included in the ranking liabilities. Net Adjusted Value: Amount determined through adjustment 0 0 3.2 Concentration in securities lending and borrowing The amount by which the aggregate of a) Amount deposited by the borrower with NCCPL, b) Cash margins paid and c) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed. (Note only amount exceeding by 110% of each borrower from market value of shares borrowed shall be included in the ranking liabilities) Net Adjusted Value: Amount determined through adjustment 0 0 3.3 Net underwriting Commitments als 50% of Haircut multiplied by the underwriting commitments and b) the value by which the underwriting commitments acceeds the market price of the securities. In the case of right issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitment 0 0 Net Adjusted Value: Amount determined through adjustment 0 0 0	
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(iii) Short-term borrowings -47.101.206 0 (iv) Current portion of subordinated loans 0 0 (iv) Defined Labilities 0 0 (iv) Defined Labilities 0 0 (iv) Defined Labilities 0 0 3 Non-Current Labilities 0 0 1) Long-Term financing 0 0 0 10 OW, haircut may be allowed against long term portion of financing obtained from a financing list finance leases 0 0 10 OW, haircut may be allowed against long term portion of financing obtained from a financing list finance leases 0 0 11 Own financing 0 0 0 0 0 11 Own financing bittiles as per accounting principles and included in the financial statements 0 0 0 11 Own financing bittiles as per accounting principles and included in the financial statements 0 0 0 12 Own financing bittiles as per accounting principles and includes in ternancial statements 0 0 0 13 Staff returnem benefits 131.000 0 0 0 0 100% in alcut may be allowed the coducing adjustments 0 0 0	
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12.5% of the net underwriting commitments	
Not Adjusted Value: Amount determined through adjustment	
Net Adjusted Value: Amount determined through adjustment	
Negative equity of subsidiary 0 0	
The amount by which the total assets of the subsidiary (excluding any amount due	
from the subsidiary) exceed the total liabilities of the subsidiary	
Net Adjusted Value: Amount determined through adjustment	
Foreign exchange agreements and foreign currency positions	
5% of the net position in foreign currency.Net position in foreign currency means the	
difference of total assets denominated in foreign currency less total liabilities	
denominated in foreign currency	
Net Adjusted Value: Amount determined through adjustment	
6 Amount Payable under REPO 0 0	
Amount Payable under REPO 0 0 Net Adjusted Value: Carrying Value 0 0	

AGING CALCULATION BASED ON CLIENT ACCOUNT AGING CALCULATION BASED ON SETTLEMENT DATE BASIS

3. R	ANKING LIABLITIES			
3.7	Repo adjustment	0	0	0
	In the case of financier/purchaser the total amount receivable under Repo less the			
	110% of the market value of underlying securities. In the case of financee/seller the			
	market value of underlying securities after applying haircut less the total amount			
	received ,less value of any securities deposited as collateral by the purchaser after			
	applying haircut less any cash deposited by the purchaser.			
	Net Adjusted Value: Amount determined through adjustment		202.121	
3.8	Concentrated proprietary positions	0	268,101	268,101
	If the market value of any security is between 25% and 51% of the total proprietary			
	positions then 5% of the value of such security .If the market of a security exceeds 51%			
	of the proprietary position, then 10% of the value of such security.			
	Net Adjusted Value: Amount determined through adjustment			
3.9	Opening Positions in futures and options			
	i) In case of customer positions, the total margin requirements in respect of open	0	0	0
	positions less the amount of cash deposited by the customer and the value of			
1	securities held as collateral/ pledged with securities exchange after applying VAR			
	haircuts.			
	Net Adjusted Value: Amount determined through adjustment			
	ii) In case of proprietary positions, the total margin requirements in respect of open	0	0	0
	positions to the extent not already met			
0.40	Net Adjusted Value: Amount determined through adjustment			
3.10	Short Sell Positions		0	0
	i) Incase of customer positions, the market value of shares sold short in ready market	0	0	0
	on behalf of customers after increasing the same with the VAR based haircuts less the			
	cash deposited by the customer as collateral and the value of securities held as			
	collateral after applying VAR based Haircuts			
	Net Adjusted Value: Amount determined through adjustment			0
	ii) Incase of proprietary positions, the market value of shares sold short in ready market	0	0	0
	and not yet settled increased by the amount of VAR based haircut less the value of			
	securities pledged as collateral after applying haircuts.			
<u> </u>	Net Adjusted Value: Amount determined through adjustment TOTAL RANKING LIABLITIES	0	268,101	268,101
				,
	TOTAL LIQUID CAPITAL	298,704,717	108,741,740	158,436,149

Ratios to be Maintained	Value	TIMES OF LC
1. The Total Liablities including Ranking Liablities shall not exceed 10 times of Liquid Capital	218,039,675	1.38
2. The Short Term Liablities shall not exceed 5 times of Liquid Capital	-1,708,078	-0.01
3. The Trade Receiveables shall not exceed 2 times of Liquid Capital	18,159,611	0.11